

DOCKET NO.: MSFT-0229/154793.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**Paul E. Newson, Aaron W. Ogus,
Roderick M. Toll, Michael J. Narayan,
Evan J. Schrier and James M. Boswell**

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Herewith

Examiner: Not Yet Assigned

**For: NETWORKING SYSTEM AND METHOD FOR THE TRANSMISSION OF
RELIABLE AND NON-RELIABLE DATA IN A COMMON SLIDING
WINDOW**

**EXPRESS MAIL LABEL NO: EL650275830US
DATE OF DEPOSIT: June 18, 2001**

Assistant Commissioner for Patents
Washington DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified

application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).

- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner,

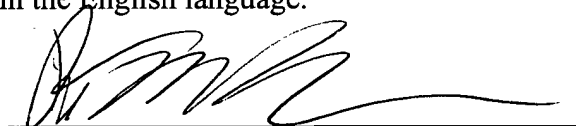
Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: 6-19-01



Peter M. Ullman

Registration No. 43,963

WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



Form PTO-1449 Modified		Docket No. MSFT-0229/154793.1	Serial No. Not Yet Assigned
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Paul E. Newson, et al.	
U.S. Department of Commerce Patent and Trademark Office		Filing Date Herewith	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AA	Ke, Jun <i>et al.</i> , "Towards a Rate-Based TCP Protocol for the Web," <i>Proceedings 8th International Symposium on Modeling, Analysis and Simulation of Computer and Telecommunications Systems (Cat. No. PR00728)</i> , 2000, 36-45	
	AB	Jouaber, B. <i>et al.</i> , "Modeling the Sliding Window Mechanism," <i>ICC '98. 1998 IEEE International Conference on Communications</i> , 1998, 3(3), 1749-1753	
	AC	Kumar, Sanjeev <i>et al.</i> , "The Sliding-Window Approach to High Performance ATM Switching for Broadband Networks," <i>IEEE Globecom 1996. Communications: The Key to Global Prosperity</i> , 1996, 771-777	
	AD	Rizzo, L., "pgmcc: a TCP-friendly single-rate multicast congestion control scheme," <i>Computer Communication Review</i> , 2000, 30(4), 17-28	
EXAMINER		DATE CONSIDERED	